

## Appendix A (Guidance Documents)

### REFERENCES

The following list, although not comprehensive, contains many of the regulations and guidance documents that apply to the RI/FS process:

1. National Oil and Hazardous Substances Pollution Contingency Plan (The NCP), 40 C.F.R. Part 300
2. "Guidance for Conducting Remedial Investigations and Feasibility Studies Under CERCLA," U.S. EPA, Office of Emergency and Remedial Response, October 1988, OSWER Directive No. 9355.3-01.  
<http://www.epa.gov/superfund/policy/remedy/pdfs/540g-89004-s.pdf>
3. "Guidance on Oversight of Potentially Responsible Party Remedial Investigations and Feasibility Studies," U.S. EPA, Office of Waste Programs Enforcement, OSWER Directive No. 9835.3.
4. "Guide to Preparing Superfund Proposed Plan, Records of Decision, and Other Remedy Selection Decision Documents," U.S. EPA, Office of Solid Waste and Emergency Response, EPA 540-R-98-031, July 1999, OSWER Directive No. 9200.1-23P.
5. "A Compendium of Superfund Field Operations Methods," Two Volumes, U.S. EPA, Office of Emergency and Remedial Response, EPA/540/P-87/001a, August 1987, OSWER Directive No. 9355.0-14.
6. "EPA NEIC Policies and Procedures Manual," May 1978, revised November 1984, EPA-330/9-78-001-R.
7. "Interim Guidance on Compliance with Applicable or Relevant and Appropriate Requirements," U.S. EPA, Office of Emergency and Remedial Response, July 9, 1987, OSWER Directive No. 9234.0-05.
8. "CERCLA Compliance with Other Laws Manual," Two Volumes, U.S. EPA, Office of Emergency and Remedial Response, August 1988 (draft), OSWER Directive No. 9234.1-01 and -02.
9. "Guidance on Remedial Actions for Contaminated Ground Water at Superfund Sites," U.S. EPA, Office of Emergency and Remedial Response, (draft), OSWER Directive No. 9283.1-2.

10. "Draft Guidance on Preparing Superfund Decision Documents," U.S. EPA, Office of Emergency and Remedial Response, March 1988, OSWER Directive No. 9355.3-02
11. "Performance of Risk Assessments in Remedial Investigation/Feasibility Studies (RI/FSs) Conducted by Potentially Responsible Parties (PRPs)," August 28, 1990, OSWER Directive No. 9835.15.
12. "Role of the Baseline Risk Assessment in Superfund Remedy Selection Decisions," April 22, 1991, OSWER Directive No. 9355.0-30.
13. OSHA Regulations at 29 C.F.R. 1910.120
14. "Final Guidance on Administrative Records for Selecting CERCLA Response Actions," U.S. EPA, Office of Waste Programs Enforcement, December 3, 1990, OSWER Directive No. 9833.3A.
15. "Community Relations in Superfund: A Handbook," U.S. EPA, Office of Emergency and Remedial Response, June 1988, OSWER Directive No. 9230.0#3B.
16. "Community Relations During Enforcement Activities And Development of the Administrative Record," U.S. EPA, Office of Programs Enforcement, November 1988, OSWER Directive No. 9836.0-1A.
17. EPA 1997. "Ecological Risk Assessment Guidance for Superfund, Process for Designing and Conducting Ecological Risk Assessments." Office of Emergency and Remedial Response. EPA/540-R-97-006. June 5, 1997.
18. U.S. Environmental Protection Agency (EPA) 1987a. "Data Quality Objectives for Remedial Response Activities." Office of Emergency and Remedial Response and Office of Waste Programs Enforcement. EPA/540/G-87/003. OSWER Directive No. 9335.0-7b. March 1987.
19. EPA 1991a. "Human Health Evaluation Manual, Supplemental Guidance: Standard Default Exposure Factors." Office of Emergency and Remedial Response. OSWER Directive No. 9235.6-03. March 1991.
20. "Risk Assessment Guidance for Superfund - Volume I Human Health Evaluation Manual (Part A)," December 1989, EPA/540/1-89/002.

21. EPA 1991b. "Risk Assessment Guidance for Superfund: Volume I, Human Health Evaluation Manual (Part B), Development of Risk-Based Preliminary Remediating Goals." Office of Emergency and Remedial Response. OSWER Directive No. 9285.7-01B. December 1991.
22. EPA 1991c. "Risk Assessment Guidance for Superfund: Volume I, Human Health Evaluation Manual (Part C), Risk Evaluation of Remedial Alternatives." Office of Emergency and Remedial Response. OSWER Directive No. 9285.7-01C. 1991.
23. EPA 1992a. "Guidance for Data Useability in Risk Assessment." Office of Emergency and Remedial Response. OSWER Directive No. 9285.7-09A. April 1992 (and Memorandum from Henry L. Longest dated June 2, 1992).
24. "Risk Assessment Guidance for Superfund. Volume I: Human Health Evaluation Manual. Supplemental Guidance. Dermal Risk Assessment." Interim Guidance, 1998.
25. "Risk Assessment Guidance for Superfund - Volume II Environmental Evaluation Manual," March 1989, EPA/540/1-89/001.
26. EPA 1992b. "Supplemental Guidance to RAGS. Calculating the Concentration Term." Office of Emergency and Remedial Response. OSWER Directive No. 9285.7-081. May 1992.
27. EPA 1993a. "Data Quality Objectives Process for Superfund." Office of Solid Waste and Emergency Response. EPA/540-R-93-071. September 1993.
28. EPA 1998a. "Risk Assessment Guidance for Superfund, Volume 1 - Human Health Evaluation Manual (Part D, Standardized Planning, Reporting, and Review of Superfund Risk Assessments). Interim. Process for Designing and Conducting Ecological Risk Assessments." Office of Solid Waste and Emergency Response. EPA/540-R-97-033. January 1998. Publication 9285.7-47, Final, December 2001.
29. EPA 1998b. "EPA Guidance for Quality Assurance Project Plans." Office of Research and Development. EPA QA/G-5. EPA/600/R-98/018. February 1998.
30. EPA 2001. "EPA Requirements for Quality Assurance Project Plans." Office of Environmental Information. EPA QA/R-5. EPA/240/B-01/003. March 2001.
31. "Health and Safety Requirements of Employees Employed in Field Activities," U.S. EPA, Office of Emergency Response, July 12, 1981, EPA Order No. 1440.2.

32. "Exposure Factors Handbook", EPA, 1997.
33. Integrated Risk Information System (IRIS), 2000.
34. "Health Effects Assessment Summary Tables (HEAST)", "U.S. EPA, Office of Solid Waste and Emergency Response, 1997, EPA/540/R-95/036.
35. "Guidance for Conducting Non-Time-Critical Removal Actions Under CERCLA", U.S. EPA, Office of Emergency and Remedial Response, August 1993, OSWER Directive No. 9360.0-32.
36. Guide for Conducting Treatability Studies Under CERCLA, Final. U.S. EPA, Office of Solid Waste and Emergency Response, EPA/540/R-92/071a, October 1992.
37. Guide to Management of Investigation-Derived Wastes, U.S. EPA, Office of Solid Waste and Emergency Response, Publication 9345.3-03-85, January 1992.
38. Contaminated Sediment Remediation Guidance for Hazardous Waste Sites, U.S. EPA, Office of Solid Waste and Emergency Response, EPA-540-R-05-012, OSWER 9355.0-85, December, 2005.
39. Revised Policy on Performance of Risk Assessments During Remedial Investigation/Feasibility Studies (RI/FS) Conducted by Potentially Responsible Parties, U.S. EPA, Office of Solid Waste and Emergency Response, OSWER directive No. 9835.15c, Jan 1996.